AGENDA AND BIOS

Basic Financial Investigations Seminar

New York, New York
January 13 – 15, 2015

Sponsored by

Asset Forfeiture and Money Laundering Section

Center for the Advancement of Public Integrity
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<td>8:30 – 9:00</td>
<td>Registration</td>
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<td>9:00 – 9:15</td>
<td>Opening Remarks</td>
<td>Jennifer Rodgers, Executive Director&lt;br&gt;Center for the Advancement of Public Integrity, Columbia Law School&lt;br&gt;New York, NY</td>
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<td>9:15 – 10:00</td>
<td>Introduction to Financial Investigations</td>
<td>Craig Newell, Assistant Deputy Chief, Training Unit&lt;br&gt;United States Department of Justice, Asset Forfeiture and Money Laundering Section&lt;br&gt;Washington, DC</td>
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<td>10:00 – 10:15</td>
<td>Break</td>
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<td>10:15 – 12:00</td>
<td>Starting a Financial Investigation</td>
<td>Carmen Gonzalez, Staff Operations Specialist&lt;br&gt;Federal Bureau of Investigation, Miami, Florida&lt;br&gt;Dee R. Edgeworth, Attorney-Advisor&lt;br&gt;Executive Office for the Organized Crime Drug Enforcement Task Forces, Washington, DC</td>
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<td>12:00 – 1:15</td>
<td>Lunch</td>
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<td>1:15 – 2:30</td>
<td>Bank Secrecy Act Reporting Requirements</td>
<td>John Hyland, Senior Legal Advisor&lt;br&gt;United States Department of Justice, Asset Forfeiture and Money Laundering Section&lt;br&gt;Washington, DC</td>
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<td>2:30 – 2:45</td>
<td>Break</td>
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<td>2:45 – 4:30</td>
<td>Financial Institutions</td>
<td>Lori Nelson, Financial Analyst&lt;br&gt;United States Attorney’s Office, Western District of Missouri, Kansas City, MO</td>
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### Wednesday, January 14, 2015

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<tr>
<th>Time</th>
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| 9:00 – 9:45 | Organizing a Financial Investigation | David Miller, OCDETF Financial Analyst  
United States Attorney’s Office, Eastern District of Virginia  
Norfolk, Virginia |
| 9:45 – 10:00 | Break                   |                                                                             |
| 10:00 – 12:15 | Money Laundering Statutes      | John Hyland, Senior Legal Advisor  
United States Department of Justice, Asset Forfeiture and Money Laundering Section  
Washington, DC |
| 12:15 – 1:30 | Lunch                      |                                                                             |
| 1:30 – 3:15 | Proving Unexplained Income     | Julie Carruthers, Special Agent  
Internal Revenue Service – Criminal Investigations  
Albany, NY |
| 3:15 – 3:30 | Break                        |                                                                             |
| 3:30 – 5:00 | Identifying Assets for Forfeiture | Karen Beausey, Assistant United States Attorney  
United States Attorney’s Office, District of Massachusetts  
Boston, MA  

**Tamara Schuman, Legal Counsel**  
Federal Bureau of Investigation, Washington, DC
Thursday, January 15, 2015

9:00 – 10:00  Evaluating Assets for Forfeiture  
*Michael Conway, Regional Program Manager*  
United States Marshals Service, Asset Forfeiture Division, New York, NY

10:00 – 10:15  Break

10:15 – 11:45  Analyzing Tax Records  
*Julie Carruthers*

11:45 – 12:15  Obtaining Financial Records from Foreign Countries  
*Mike Fazio, Attorney Advisor*  
United States Department of Justice, Asset Forfeiture and Money Laundering Section  
Washington, DC

12:15 – 1:30  Lunch

1:30 – 2:15  Obtaining Financial Records from Foreign Countries  
*Mike Fazio*

2:15 – 3:15  Anticipating Challenges to Forfeiture  
*Dee Edgeworth*

3:15 – 3:30  Break

3:30 – 4:20  Money Laundering and Asset Forfeiture Charging Decisions  
*John Hyland, Karen Beausey*

4:20 – 4:30  Epilogue, Closing  
*Craig Newell*
Task Force 1: Carly Diroll Black
   Attorney Advisor
   United States Department of Justice
   Asset Forfeiture and Money Laundering Section
   Washington, DC

Task Force 2: Dee Edgeworth

Task Force 3: Karen Beausey

Task Force 4: Carmen Gonzalez

Task Force 5: David Miller

Task Force 6: Tamara Schuman

Task Force 7: John Hyland

Task Force 8: Lori Nelson

Task Force 9: Kristen Warden
   Trial Attorney
   United States Department of Justice
   Asset Forfeiture and Money Laundering Section
   Washington, DC

Task Force 10: Michael Conway

Task Force 11: Julie Carruthers

Task Force 12: Michael Fazio
Biographies

Karen D. Beausey  
Assistant United States Attorney  
United States Attorney's Office  
District of Massachusetts  
John Joseph Moakley United States Courthouse, Suite 9200  
1 Courthouse Way  
Boston, MA 02210  
617-748-3126  
karen.beausey@usdoj.gov

Karen Beausey is an AUSA in the Boston Office of the District of Massachusetts. She joined the office in 2012, and is assigned to the Drug Task Force/OCDETF Unit, where she investigates and prosecutes complex drug trafficking and money laundering cases. Prior to joining the Boston USAO, from 1995-2012 Karen was an AUSA in the San Francisco Office of the Northern District of California. In total, she has been handling OCDETF drug trafficking and money laundering investigations for over 15 years. In the NDCA, in addition to her case responsibilities Karen served as the Chief of the Narcotics Section and the OCDETF Regional Coordinator for the Pacific Region. From 2007-2009 she served as a Trial Attorney for the United Nations International Criminal Tribunal for the Former Yugoslavia, where she investigated and prosecuted war crimes and crimes against humanity committed in the territory of the Former Yugoslavia. She is a repeat instructor in Trial Advocacy at the NAC. She graduated from Harvard University and received her JD from Boalt Hall School of Law, University of California at Berkeley. Prior legal experience includes private practice and serving as a judicial law clerk for the Hon. Thelton E. Henderson, Chief Judge for the Northern District of California. She is a member of the California Bar.

Carly Diroll-Black  
Attorney Advisor  
United States Department of Justice  
Asset Forfeiture and Money Laundering Section  
10th and Constitution NW, Bond Building, Suite 10100  
Washington, DC 20530  
202-616-1494  
carly.f.diroll-black@usdoj.gov

Carly Diroll-Black is an Attorney Advisor in the Asset Forfeiture and Money Laundering Section’s (AFMLS) Policy and Training Unit where she reviews equitable sharing requests for assets valued over $1 million, evaluates remission petitions and restoration requests for the benefit of victims of crime, and also assists with the development of asset forfeiture policy. Carly serves as an AFMLS liaison to appointed claims trustees in large-scale victim cases. Carly also provides training to law enforcement agencies who participate in the Equitable Sharing Program, along with providing forfeiture and victim-related training to federal agencies. Prior to joining AFMLS, Carly worked in the asset forfeiture unit at the United States Attorney’s Office, Eastern District of New York, and also worked as a public defender and attorney in Ohio. Carly is a graduate of the College of Wooster and the University of Akron School of Law and is licensed to practice in Ohio.

Stephanie Duffy  
Training Coordinator  
Forfeiture Support Associates  
1400 New York Ave NW, Suite 9300  
Washington, D.C. 20530  
202-305-7494  
stephanie.duffy@usdoj.gov

Stephanie Duffy is the Training Coordinator for the Asset Forfeiture and Money Laundering Section Training Unit. She has been providing support to the Department of Justice since 2007. Mrs. Duffy previously worked with L-3 Communications and the Federal Emergency Management Agency establishing disaster management policies and disaster planning initiatives. She has a Bachelor of Arts degree in Criminal Justice with a certificate in Homeland Security from Michigan State University.

Julie Carruthers  
Special Agent  
Internal Revenue Service – Criminal Investigation  
11A Clinton Ave Rm. 930  
Albany, NY 12207  
518-242-5495  
juile.carruthers@ci.irs.gov

Julie Carruthers is a Special Agent with Internal Revenue Service – Criminal Investigation in Albany, NY. She has served in this capacity since 2004. During this time Ms. Carruthers served as a Suspicious Activity Report – Review Team Coordinator developing cases and conducted outreach efforts with the financial institutions in the area. She is the IRS liaison to the FBI for NDNY Public Corruption Task Force. Prior to joining the IRS, she was an Assistant Vice President, Regional Security Officer for a financial institution in New England. Ms. Carruthers has a Bachelor of Science degree in Accounting from State University of New York – Excelsior.
Michael Conway
Regional Program Manager
United States Marshals Service
Asset Forfeiture Financial Investigator Program
One St. Andrew’s Plaza
New York, NY 10007
212-637-2559
michael.conway@usdoj.gov

Michael Conway has been the Regional Program Manager for the Marshals Service’s Asset Forfeiture Financial Investigator Program for the past year. He manages the Program’s Northeast Region providing guidance and training for the 12 financial investigators covering from Roanoke, West Virginia to Buffalo, New York. Prior to becoming the Regional Program Manager, Mr. Conway was the Asset Forfeiture Financial Investigator located in the U.S. Attorney’s Office for the Southern District of New York. His duties included asset analysis and conducting financial investigations related to money judgment enforcement. From 1988 to 1998, Mr. Conway was a Deputy U.S. Marshal in the Southern District of New York, primarily performing pre-seizure and post-seizure asset analysis, management, and disposal. Mr. Conway is a graduate of New York Law School and, from 1999 to 2010, was an attorney engaged in the private practice of law.

Dee R. Edgeworth
Attorney-Advisor
United States Department of Justice
Organized Crime Drug Enforcement Task Forces
1331 Pennsylvania Avenue, NW, Suite 1060
Washington, D.C. 20530
202-305-4202
dee.edgeworth2@usdoj.gov

Dee R. Edgeworth is an Attorney-Advisor for the U.S. DOJ OCDETF. In his current assignment he is responsible for the development and training of OCDETF agents and prosecutors in financial investigative techniques. He was the lead attorney for the San Bernardino County California District Attorneys Office Asset Forfeiture Unit for twenty years and a Special Assistant United States Attorney with the U.S. Attorney’s Office Asset Forfeiture Unit in the Central District of California for four years. A graduate of Brigham Young University (B.A. History, Cum Laude 1974) and Western State University College of Law (J.D. 1981) he is author of the new American Bar Association book Asset Forfeiture Practice and Procedure in State and Federal Courts 3rd Edition (2014) and has written numerous articles on asset forfeiture published by national and state prosecution associations. Mr. Edgeworth has taught for federal, state and local law enforcement agencies for over twenty years. He is the recipient of several professional awards including the California District Attorneys Association Instructor of the Year (1996), United States Department of Justice Exceptional Service Awards (1996) & (2008), and National College of District Attorneys Lecturer of Merit Award (2002).

Michael Anthony Fazio
Attorney-Advisor
United States Department of Justice
Asset Forfeiture and Money Laundering Section
10th and Constitution NW, Bond Building, Suite 10100
Washington, DC 20530
202-598-6768
michael.fazio@usdoj.gov

Mike serves as an attorney-advisor in the Policy & Training Unit of Asset Forfeiture and Money Laundering Section. In addition to his role developing new training programs and materials, Mike is the coordinator of the Asset Forfeiture Fellowship Program, which recruits, trains, and mentors bright, ambitious junior-level attorneys in asset forfeitute and money laundering law, practice and policy. Prior to coming to DOJ, Mike was a commercial litigation associate at Riker, Danzig, Scherer, Hyland & Perretti, LLP in New Jersey for four years. He clerked for the Honorable Katharine S. Hayden, United States District Court for the District of New Jersey. Mike received his Bachelor’s degree in Political Science from Pennsylvania State University, a Master of Science in Higher Education and Student Affairs from Indiana University-Bloomington, and his law degree from Seton Hall University School of Law. During law school, Mike served as Editor-in-Chief for the Seton Hall Law Review and was an award-winning member of the Seton Hall Interscholastic Moot Court Board. He is a member of the state bars of New Jersey and New York.

Carmen Sofia Gonzalez
Staff Operations Specialist
Federal Bureau of Investigation
16320 NW 2nd Ave N
Miami, FL 33169
305-787-6509
carmen.gonzalez@ic.fbi.gov

Carmen Sofia Gonzalez is a Staff Operations Specialist for the FBI Miami field office. She supports the counter terrorism squads within the intelligence branch program. She prepares analytical reports supported by database findings in addition to preparing analytical products to include link charts, telephone and email analysis. She provides administrative support to complex operational functions and develops data reports to fill in specific gaps, draws conclusions and notes trends. Prior to that Ms. Gonzalez served as a Forfeiture Paralegal Specialist wherein she supported the criminal branch to include Health Care Fraud and Organized Crime Major Theft squad. Within the Health Care Fraud squad she has helped recover millions of dollars in forfeiture handled both criminally and administratively. Ms. Gonzalez was able to obtain those results by doing extensive financial research on subjects, creating link charts and applying money laundering analysis, following the money. Within the Organized Crime Major Theft squad Ms. Gonzalez supported investigations wherein it included forfeiture cases involving international victims and private
entities. Ms. Gonzalez was the main point of contact within her office to handle forfeiture matters with other federal agencies and private counsels. She received her undergraduate degree in Political Science and Masters in Public Administration from Florida International University.

John F. Hyland, Jr.
Senior Legal Advisor
United States Department of Justice
Asset Forfeiture and Money Laundering Section
Bond Building, Suite 10114
Washington, DC 20530
202-616-2264
john.hyland@usdoj.gov

John Hyland has been a Senior Legal Advisor with the Asset Forfeiture and Money Laundering Section (AFMLS) since 1992. His duties include reviewing money laundering prosecution memorandums and indictments, consulting with AFMLS attorneys and AUSAs and special agents about their asset forfeiture and money laundering cases investigations and indictments, representing the Criminal Division at agency undercover case reviews, helping analyze lead information to determine whether or not there are possible financial crimes and lecturing on asset forfeiture and money laundering topics. Prior to joining AFMLS, Mr. Hyland worked in private practice in the Washington metropolitan area for 12 years. He also served as an AUSA for the District of Maryland from 1978 to 1980 and as a prosecutor for the DOJ Tax Division from 1974 to 1978. Mr. Hyland is a graduate of Harvard and of the Georgetown University Law Center. He is licensed to practice in the District of Columbia and Maryland.

Craig Newell
Assistant Deputy Chief, Training Unit
United States Department of Justice
Asset Forfeiture and Money Laundering Section
1400 New York Avenue NW, Suite 9308
Washington, D.C. 20005
202-616-3272
craig.newell2@usdoj.gov

Craig Newell is an instructional designer with 14 years of progressive experience in the analysis, design, development, and delivery of training systems. He has been working on the Financial Investigations Seminar and other training initiatives for the Asset Forfeiture and Money Laundering Section for nearly 10 years. Mr. Newell has extensive knowledge of distance, web-based, blended, synchronous, asynchronous, self-study and classroom training, using Instructional Systems Design principles and adult learning methodology. He is adept in gathering information to create detailed job, task and needs analyses used to identify performance gaps, training solutions, and measurable and observable objectives. Mr. Newell is skilled at creating and fostering positive working partnerships. He received a Bachelor of Arts in Education from Arizona State University and a Master of Arts in Instructional Systems Design from the University of Maryland, Baltimore County.

Lori Nelson
Financial Analyst
Narcotics Unit
United States Attorney’s Office
Western District of Missouri
400 East 9th Street, 5th Floor
Kansas City, Missouri 64106
816-426-4324, fax: 816-426-4328
lori.nelson@usdoj.gov

Lori Nelson has been a Financial Analyst in the Narcotics Unit for the United States Attorney’s Office, WDMO, since October 2004. Prior to that time, Lori spent nine years as a Senior Bank Examiner for the Missouri Division of Finance, performing safety and soundness examinations for community banks. Lori holds a BSBA in Accounting, as well as an MA in Accounting from Central Missouri.
Tamara L. Schuman has been an Assistant General Counsel in the FBI’s Office of the General Counsel since February 2006. She is assigned to the Legal Forfeiture Unit. Her duties include: providing advice, legal material and instruction relating to forfeiture law to FBI Chief Division Counsels, Agents, and Paralegal Specialists; reviewing administrative forfeiture actions for legal sufficiency; ruling on petitions for remission or mitigation of the forfeiture of property seized by the FBI; ensuring compliance with all pertinent Federal laws and policies; and preparing and updating FBI Legal Forfeiture publications. Ms. Schuman holds a B.A. degree in Psychology from the University of Rochester, and a J.D. from Albany Law School. She is licensed to practice law in New York and Washington, DC.

Kristen M. Warden has been a Trial Attorney with the Asset Forfeiture and Money Laundering Section since 2011. Her duties at AFMLS include litigating criminal and civil forfeiture actions filed in federal courts across the country, investigating and prosecuting criminal money laundering cases against professional and third-party money launderers, and investigating the financial service industry’s compliance with the Bank Secrecy Act and anti-money laundering laws. Ms. Warden has also served as a Special Assistant U.S. Attorney in the U.S. Attorney’s Office for the District of Columbia. Prior to joining AFMLS, Ms. Warden worked as a litigation associate at Paul Hastings LLP in Washington, D.C from 2008 to 2011. Ms. Warden graduated with distinction from the University of Virginia in 2005 and from the University of Virginia School of Law in 2008.