Key Topics in Corporate Governance Symposium: Disclosure
Panelist & Moderator Bios

Donna F. Anderson, CFA
Vice President and Head of Global Corporate Governance, T. Rowe Price Associates, Inc.

Donna F. Anderson is Vice President and Global Corporate Governance Analyst for T. Rowe Price. In this role, Ms. Anderson leads the policy-formation process for proxy voting, chairs the firm’s Proxy and Responsible Investment committees, and leads the firm’s engagement efforts with portfolio companies. She is also a member of the Valuation Committee and the Women’s Roundtable Advisory Council.

Before joining T. Rowe Price in 2007, Ms. Anderson was Director of Equity Research for Invesco Funds in Houston.

Ms. Anderson is a CFA Charterholder and a member of the CFA Institute and the Baltimore CFA Society. In addition, she serves on the Advisory Boards of the Council of Institutional Investors and the Weinberg Center for Corporate Governance at the University of Delaware.

Dan Bross
Senior Director of Corporate Citizenship, Microsoft Corporation

Dan Bross, Microsoft’s Senior Director of Corporate Citizenship, has over twenty-five years of experience in the public, private, and nonprofit sectors. With a background in public policy and government and public affairs, Dan has led government affairs and policy teams at both the federal and state levels for two Fortune 100 companies. He joined Microsoft in 1998 and in 2002 led a cross company virtual team that developed Microsoft’s global Citizenship Program. Today, Dan leads a team with Citizenship responsibilities focused on issues management, stakeholder engagement, and strategic relationship management.

In addition to his corporate experience, Dan has management and program development experience in the nonprofit sector. During his tenure as Executive Director AIDS Council, the nation’s leading AIDS advocacy organization, he chaired a broad national coalition of health and human service organizations and non-governmental organizations and served as an advisor to President Clinton’s Domestic Policy Advisor on the structuring and staffing of the White House Office of National AIDS Policy.

He holds a B.A. in Political Science from Catawba College in Salisbury, NC and a master’s degree in Public Administration from the George Washington University in Washington, DC. Dan currently is currently a member the Board of Trustees of Catawba College; the World Economic Forum Global Agenda Council on Human Rights; the Executive Leadership Forum of Boston College’s Center for Corporate Citizenship; and Co-Chair of The Conference Board Committee on Corporate Political Spending.
Richard J. Daly  
*President and Chief Executive Officer, Broadridge Financial Solutions, Inc.*  

Rich is the Chief Executive Officer and a member of the Broadridge Board of Directors. Prior to his current role, Rich served as Group President of the Brokerage Services Group of ADP, as a member of the Executive Committee and a Corporate Officer of ADP since June 1996. In his role as President, he shared the responsibility of running the Brokerage Services Group with John Hogan and was directly responsible for the Investor Communication Solutions business. Rich joined ADP in 1989 as Senior Vice President of the Brokerage Services Group.

Before joining ADP in 1989, Rich was previously with Thomson McKinnon Securities as Senior Vice President of Operations and member of the Board of Directors. Prior to Thomson, Rich spent 7 years at The Independent Election Corporation of America in various senior management positions including Senior Vice President and Chief Operating Officer. Rich worked for Arthur Andersen & Co. and Touche Ross & Co. as a Certified Public Accountant after his graduation from the New York Institute of Technology with a Bachelor of Science degree in Accounting.

Rich attended the Harvard Business School’s Young President’s Program from 1996-2004, thereby successfully completing the President’s Program in Leadership. Rich is a member of the Board of Trustees of New York Institute of Technology. He is a founding member of the Board of Directors of the Make-A-Wish Foundation of Suffolk County, Inc. and currently serves as an Honorary Director.

Rich was the recipient of the Ernst & Young 2003 Entrepreneur of the Year Award and Arthur Andersen’s worldwide “Best Practices Award” for “Organization” and “People”.

Deborah DeHaas  
*Chief Inclusion Officer and Managing Partner of the Center for Corporate Governance, Deloitte LLP*

Deb DeHaas is Chief Inclusion Officer and National Managing Partner of the Center for Corporate Governance for Deloitte LLP. As Chief Inclusion Officer, DeHaas drives Deloitte’s strategy to recruit, develop, and promote a diverse workforce and foster an inclusive environment where leaders thrive, a constant passion and focus of Deloitte for more than 20 years. DeHaas is also responsible for Deloitte’s boardroom programs that support corporate boards and directors in fulfilling their governance-related responsibilities. DeHaas serves as the lead client service partner or advisory partner on a number of Deloitte’s most significant clients and is a member of the Deloitte U.S. Executive Committee. She previously served as a member of the Board of Directors of Deloitte LLP from 2008-2015 and as the U.S. Firms’ representative on the Deloitte Canada Board of Directors. Prior to assuming her current role, DeHaas was the Central Region Managing Partner, leading the quality, client satisfaction, growth, marketplace, and human resource initiatives for 22 offices comprising (at the time) 9,000 professionals, making it the largest Big Four organization in the Central region. DeHaas was named to Accounting Today’s “Top 100 Most Influential” list in 2013 and 2014.
Joseph S. Fichera
Chief Executive Officer, Saber Partners LLC

Joseph S. Fichera is one of the founders and the current chief executive officer of Saber Partners, LLC, a New York financial advisory firm for corporations and governments. His areas of expertise include corporate governance, financial markets, capital markets finance and effective regulation. He provides independent expert advice to corporate and municipal finance issuers, investors, regulators and brokerage firms.

Under Mr. Fichera's leadership, Saber is widely recognized for its strategic guidance and financial innovations — unique, precedent-setting solutions — that have set new standards and lowered costs, especially for companies or governments that sell securities in the global capital markets. Saber analyzes, advises, creates and carries out strategic financing solutions that cross financial products, whether equities, corporate debt, municipal finance or securitized debt.

In addition, Mr. Fichera serves as a Senior Advisor to The Williams Capital Group, L.P., an independent securities brokerage, investment management and financial services firm. He advises WCG on various securities and capital markets related initiatives involving the Company's corporate and municipal client service efforts including mergers and acquisitions.

One of Fichera's first innovations was SABRES ("share-adjusted, broker-remarketed equity securities"), a preferred stock structure that challenged conventional wisdom and reduced capital-raising costs and risks in the preferred stock market then-dominated by auction rate preferred securities. Developed in the late 1980s, SABRES attracted sophisticated issuers, like Exxon Corporation, across America. While in corporate finance, another one of his innovations influenced and won recognition in the market for municipal and not-for-profit institutions as well. Within 2 years of being hired as an entry-level Associate, The Bond Buyer described him as a "securities innovator" who created the first flexible debt security to manage risk and lower capital costs known as "Adjustable Tender Securities." Still in his early 30s, Fichera became a go-to resource for clients in search of strategic advice and creative solutions based on solid financial principles and demonstrated personal integrity.

Throughout his more than 28-year Wall Street career, Fichera has taken on challenges to resolve opaque corporate problems involving finance, policy, and governance. His client list has grown to include Fortune 500 companies, such as ExxonMobil and GE Capital, state bond issuers, the governor of California, Hendrick Automotive, Luby's Restaurants, Trans Tech Pharma, the Commonwealth of Massachusetts and the U.S. Securities & Exchange Commission, among others. Fichera also has a record of balancing the interests of public sector clients and rate-paying consumers with the financing needs of corporate bond issuers. He has assembled and led top-notch Saber teams that have advised the public service commissions of Florida, Texas, West Virginia, and Wisconsin on the sale of billions of dollars of corporate bonds to finance vital infrastructure and related projects. These projects included the costs of the transition to a competitive market for electricity in Texas, repair of critical public works after hurricanes in Florida, and environmental defenses in West Virginia and Wisconsin. Saber also created new and unique ways to guide intricate financings through global capital markets and with these innovative financings opened new markets and new investor bases, saving issuers and ratepayers millions of dollars.
Fichera was an early, outspoken critic of the auction rate securities market long before its collapse. He has advised institutional investors, broker-dealers and regulators on possible solutions to the current crisis and helped resolve litigation. As a public and private-sector financier, Fichera has consistently advocated greater transparency, stronger oversight and active participation to help make markets work effectively and efficiently. He plans to continue promoting these and other common goals with his characteristic passion and persistence.

Joseph Sebastian Fichera grew up in a working-class family of Italian descent in Rochester, New York. As a teenager in the late 1960s, he responded to efforts for school desegregation by voluntarily switching from his own largely white Catholic high school to a largely black inner-city school. His community efforts, through the Catholic church for justice and equal opportunity in education – including serving as an ex-officio member of the Rochester city school board – impressed Princeton University. After considering his SAT scores and strong academic performance, Princeton admitted him to the class of 1976 on a full tuition scholarship. He was the only student from the city admitted.

After later earning his MBA from the Yale School of Management and many years on Wall Street, he returned to Princeton as an executive fellow at the Woodrow Wilson School of Public and International Affairs. He then became one of Princeton’s visiting lecturers on world finance and politics in 2008. He also helped advise the university’s economics department, then chaired by Ben Bernanke, the current Chairman of the U.S. Federal Reserve. He currently serves on Princeton’s Center for Economic Policy Studies led by Alan Blinder, former Vice Chairman of the Federal Reserve and member of the President’s Council of Economic Advisors. Mr. Blinder is Chair of the Saber Partners Advisory Board since the company’s inception in 2000 and also was one of Mr. Fichera’s economics professors while he was a student at Princeton University. In the fall of 2011, Fichera taught a graduate level course on public finance at the Woodrow Wilson School of Public and International Affairs at Princeton University as a visiting lecturer. The course examined how public and private sector organizations raise capital in the financial markets, with a strong focus on public policy.

Before forming Saber Partners in 2000, Fichera learned the complexities of investment banking from the bottom up. He started as an Associate and through promotions served variously as a vice president in corporate finance at Smith Barney, and a managing director originating and executing transactions, often through product development at both Prudential Securities and Bear Stearns. During the Carter presidency, he was a special assistant for policy in the Department of Housing and Urban Development working for the first African-American woman member of the US Cabinet, Patricia Roberts Harris.

Fichera’s many articles on accountability, transparency, and financial management have appeared in Barron’s, The Wall Street Journal, and other business periodicals. In 1990 and 1991, Institutional Investor presented him its "Deal of The Year" award for transactions first for corporate and then for municipal finance. He then was awarded a subsequent "Deal of the Year" award in asset backed securities from Asset Securitization Report. His innovations and insights have been profiled in detail in the print media, from The Wall Street Journal to Yale Management Review. He is a frequent guest commentator on financial news media, including Bloomberg Television, National Public Radio, CNN Financial, and Fox Broadcasting.
Cynthia M. Fornelli
Executive Director, Center for Audit Quality

Cindy Fornelli is the Executive Director for the Center for Audit Quality (CAQ). The CAQ was founded to serve investors, public company auditors and the markets. Fornelli is responsible for carrying out the mission and vision of the Center's Governing Board, which represents the public company auditing firms, the American Institute of CPAs and independent public members.

In 2014, Fornelli was named for the sixth time to the NACD Directorship list of the 100 most influential people in corporate governance. She has also appeared on Accounting Today’s Top 100 Most Influential People list for eight consecutive years. Fornelli serves on the Financial Accounting Standards Advisory Council, the Advisory Board of the Ira M. Millstein Center for Global Markets and Corporate Ownership, and the Securities and Exchange Commission Historical Society’s Board of Trustees, Class of 2014. She previously served on the National Association of Corporate Directors’ 2010 Blue Ribbon Commission on the Audit Committee and 2009 Blue Ribbon Commission on Risk Governance.

Prior to becoming the CAQ’s Executive Director, Fornelli served as the Regulatory and Conflicts Management Executive at Bank of America. In that role, she was responsible for managing enterprise-wide conflicts that potentially could arise from the bank's delivery of multiple products and services across several business divisions, particularly as these conflicts related to securities regulation. Fornelli also had responsibility for coordinating enterprise regulatory relations with securities and banking regulators.

Before joining Bank of America, Fornelli was Deputy Director of the Division of Investment Management of the U.S. Securities and Exchange Commission, where she was responsible for implementing SEC policy, rules and regulations in the investment company and investment advisory industries.

Fornelli is a graduate of Purdue University and received her J.D. at The George Washington University. She is a former securities lawyer and a member of the Washington, D.C. bar and the American Bar Association. Fornelli also serves on the Board of the Washington Literacy Center.

Abe M. Friedman
Managing Partner, CamberView Partners

Abe Friedman for the past decade has served as a leader in the investor community on corporate governance matters. Prior to founding CamberView Partners, Mr. Friedman was a Managing Director and the Global Head of Corporate Governance and Responsible Investment at BlackRock, the world’s largest asset manager. Before joining BlackRock he was the Global Head of Corporate Governance at Barclays Global Investors (BGI), which merged with BlackRock in 2009. Prior to joining BGI, Mr. Friedman served as Chief Policy Officer and General Counsel for Glass, Lewis & Co., LLC, a corporate governance and proxy research firm. He joined Glass Lewis upon its founding and developed and led Glass Lewis’s proxy research business. Mr. Friedman currently serves as co-director of the Stanford Institutional Investor Forum. The National Association of Corporate Directors and Directorship Magazine recognized Mr. Friedman as one of the 100 most influential leaders in corporate governance in 2012.
Jeffrey N. Gordon  
*Richard Paul Richman Professor of Law, Columbia Law School; Faculty Co-Director, Millstein Center*


Professor Gordon graduated from Yale and Harvard Law School, clerked for a federal appeals court judge, practiced at a New York law firm, and worked in the General Counsel’s office of the U.S. Treasury. He began his academic career at NYU in 1982 and moved to Columbia in 1988. While at Treasury, he worked on the Chrysler Corporation loan guarantee program and financial regulation.

Barbara J. Krumsiek  
*Director, Pepco Holdings, Inc.; Former Chair and Chief Executive Officer, Calvert Investments*

Ms. Krumsiek is a member of the Board of Directors at Pepco Holdings, Inc. (PHI) since May 18, 2007. She is the former Chief Executive Officer and Chair of Calvert Investments, Inc., an investment management and research firm based in Bethesda, Maryland, from 1997-2014. Calvert offers a range of fixed income and equity mutual funds including a full family of socially responsible mutual funds. During her tenure as CEO, Ms. Krumsiek served as a trustee/director for 42 Calvert-sponsored mutual funds.

Ms. Krumsiek’s qualifications for election to the PHI Board include her financial knowledge from an investor standpoint and her insights as a former Chief Executive Officer, including her familiarity with issues of corporate governance, compensation, risk assessment and technology. Ms. Krumsiek had served as Chief Executive Officer of Calvert for 17 years, after 23 years of experience with Alliance Capital Management. In her capacity as CEO of Calvert, she oversaw all aspects of corporate operations, including strategic planning, compliance and risk management, financial management, financial statement preparation, and information technology. Ms. Krumsiek also has experience with environmental and corporate social responsibility issues. Ms. Krumsiek is a former Chair of the Greater Washington Board of Trade, and serves as a director for several non-profit organizations including the Girl Scout Council of the Nation’s Capital.
Robert B. Lamm  
Senior Advisor, Center for Corporate Governance, Deloitte LLP

Bob Lamm has served as a Senior Advisor to Deloitte’s Center for Corporate Governance since 2013. From 2008 to 2013, Bob was Assistant General Counsel and Assistant Secretary of Pfizer Inc. His previous experience includes service as Vice President and Secretary of W. R. Grace & Co., Senior Vice President – Corporate Governance and Secretary of CA, Inc., and Managing Director, Secretary and Associate General Counsel of FGIC Corporation/Financial Guaranty Insurance Company. He also has extensive experience with small- and mid-cap companies as well as non-profit entities. Bob is also Of Counsel to Gunster, Yoakley & Stewart, P.A. in Fort Lauderdale, Florida, and serves as co-chair of the firm’s Securities and Corporate Governance practice. He rejoined Gunster in 2014, having been a shareholder from 2000 to 2002. He is also an Advisory Director of Argyle, which advises corporations on the effective communication of corporate governance.

Bob is an active, long-term member of the Society of Corporate Secretaries and Governance Professionals. He was chair of the Society’s Securities Law Committee from 2011 to 2014 and has served on the Society’s Corporate Practices, Finance and National Conference Committees, as a member of its board of directors, and as chair of its 2004 National Conference Committee; and he is a recipient of the Society’s Bracebridge H. Young Distinguished Service Award. He currently serves as co-chair of the Society’s Effective Disclosure Task Force.

Bob is a member of The Florida Bar, the New York State Bar, and the American Bar Association (including its Business Law Section and Committees on Corporate Governance and Federal Regulation of Securities). He frequently speaks and writes on securities law, corporate governance and related topics. He is also a Senior Fellow of The Conference Board Governance Center and a member of the Board of Editors of The Corporate Counselor. Bob received a Bachelor of Arts from Brandeis University and a Juris Doctor from the University of Pennsylvania School of Law.

Jared L. Landaw  
Chief Operating Officer and General Counsel, Barington Capital Group LP

Jared L. Landaw is the Chief Operating Officer and General Counsel of Barington Capital Group, L.P., an activist hedge fund that has assisted numerous publicly traded companies in implementing various operational, strategic and corporate governance improvements to enhance long-term shareholder value. Prior to working at Barington, he was the Vice President of Law at International Specialty Products Inc. (ISP), where he worked directly with the company’s chairman, Samuel J. Heyman, on a variety of activist investments and was the recipient of the Chairman's Award for "outstanding work and notable contribution to the affairs of the Company." Prior to working at ISP, Mr. Landaw was an attorney at Skadden Arps Slate Meagher & Flom LLP.

Mr. Landaw is a frequent speaker on corporate governance and public company shareholder issues, including at the Society of Corporate Secretaries' 2014 National Conference, the Ethics & Shareholder Value Summit, the Hedge Fund General Counsel Summit, the General Counsel Conference, the Hedge Fund Activism and Shareholder Value Summit, and Deal Magazine’s M&A Outlook Conference. He also chairs the New York City Bar Association's continuing legal education programs on activist investing. Mr. Landaw holds a B.A. from Colgate University, where he was elected to Phi Beta Kappa, and a J.D. from Columbia University School of Law, where he was a Harlan Fisk Stone Scholar.
Rachel C. Lee
Associate General Counsel, EMC Corporation

Rachel’s practice focuses on corporate governance matters, including the review and implementation of governance best practices, communication with shareholders and other stakeholders, and sustainability. She provides legal support for key committees of the Board of Directors. She also advises on a wide range of securities law matters, including issues involving public company disclosure, proxy statements and shareholder meetings, and SEC filings.

Rachel received a B.A. from Columbia College and a J.D. from the University of Michigan Law School. Rachel is President of the Eastern New England chapter of the Society of Corporate Secretaries and Governance Professionals and chair of the Society’s Corporate Practices Committee. She also serves on the Board of the Asian American Lawyers Association of Massachusetts.

William E. McCracken
Former Chairman & Chief Executive Officer, CA Technologies

Bill McCracken has been the President at Executive Consulting Group LLC since 2002. Mr. McCracken serves as the President of Greater Plainfield Habitat for Humanity. He served as the Chief Executive Officer of CA Technologies since January 2010 until January 7, 2013. Mr. McCracken was responsible for all IBM printing products, including hardware, software and services. Mr. McCracken spent over 36 years at International Business Machines Corporation before retiring in 2001. During his 36 years tenure at IBM, he held a variety of leadership and management positions at IBM. He served as an Interim Executive Chairman of CA, Inc. from September 2009 to January 2010. He served as a General Manager of Worldwide Marketing for IBM PC Company from 1994 to 1998 and served as a General Manager of IBM’s Printing Systems Division. Mr. McCracken held numerous positions with IBM’s PC Division including President of IBM EMEA/Asia Pacific from 1993 to 1994, General Manager of Europe, Middle East and Africa from 1991 to 1993 and a Vice President of Channel Management for the PC Division from 1988 to 1990. He serves as the Chairman of the Board of Trustees of Lutheran Social Ministries of New Jersey. He served as an Executive Chairman at CA, Inc. from January 2010 to May 2010. Previously, he served as an Interim Executive Chairman of CA, Inc. from September 2009 to January 2010. Mr. McCracken served as the Chairman of CA Technologies from June 13, 2007 to September 2009 and served as its Director from February 2005 to January 7, 2013. He served as a Director of IKON Office Solutions Inc. from July 31, 2003 to October 31, 2008. Mr. McCracken holds a Bachelor’s Degree in Physics and Mathematics from Shippensburg University.
Ira M. Millstein
Senior Partner, Weil, Gotshal & Manges LLP; Co-Chair, Millstein Center

Ira M. Millstein is a senior partner at the international law firm Weil, Gotshal & Manges LLP, where in addition to practicing in the areas of government regulation and antitrust law, he has counseled numerous boards on issues of corporate governance, including the boards of General Motors, Westinghouse, Bethlehem Steel, WellChoice (fka, Empire Blue Cross), the California Public Employees' Retirement System (CalPERS), Tyco International, The Walt Disney Co., the New York State Metropolitan Transportation Authority, The Ford Foundation, The Nature Conservancy and Planned Parenthood Federation of America, among others.

In addition to his active legal practice, Mr. Millstein is an adjunct professor and Chair of The Millstein Center for Global Markets and Corporate Ownership at Columbia Law School, and an adjunct professor at Columbia Business School. He was formerly the Senior Associate Dean for Corporate Governance and the Theodore Nierenberg Adjunct Professor of Corporate Governance at the Yale School of Management.

Mr. Millstein, appointed by former Governor George Pataki as Chairman of a New York State Commission on Public Authority Reform, which led to the 2009 Public Authorities Reform Act, is Chairman of the Governor's Task Force on implementation of the Act. He is also currently a Co-Chair of Governor Cuomo’s NYS Ready Commission.

Mr. Millstein is Chairman Emeritus, having served as Chairman from 1999-2005, of the Private Sector Advisory Group to the Global Corporate Governance Forum founded by The World Bank and the Organization for Economic Cooperation and Development (OECD). He served as Chairman of the OECD Business Sector Advisory Group on Corporate Governance in 1997-1998 and Co-Chair of the Blue Ribbon Committee on Improving the Effectiveness of Corporate Audit Committees (sponsored by the New York Stock Exchange and the National Association of Securities Dealers) in 1998-1999. In 1997, he was appointed by Vice-President Gore and Prime Minister Chernomyrdin to the U.S.-Russia Capital Markets Forum Working Group on Investor Protection. In 1996, Mr. Millstein chaired the National Association of Corporate Directors' Blue Ribbon Commission on Director Professionalism. He formerly has served as Chairman of the Board of Advisors of Columbia University's Center for Law & Economic Studies' Institutional Investor Project; Chairman of the New York State Pension Investment Task Force; Adjunct Professor at New York University School of Law; and Fellow of the Faculty of Government at Harvard University's J.F.K. School of Government.

An Elected Fellow of the American Academy of Arts & Sciences, Mr. Millstein is a frequent lecturer and author on corporate governance, antitrust, and government regulation. In 2001, he received the first Award for Excellence in Corporate Governance by the International Corporate Governance Network. In June 2006, the National Law Journal named Mr. Millstein to its list of 100 Most Influential Lawyers in America. Included among his many publications are: The Recurrent Crisis in Corporate Governance (co-author, Palgrave, 2003; paperback by Stanford University Press, August 2004); a trilogy of articles published in The Business Lawyer - "The Evolution of the Certifying Board" (1992), "The Professional Board" (1995), and "The Responsible Board" (1997); The Limits of Corporate Power (co-author, MacMillan, 1981); The Impact of the Modern Corporation (co-editor, Columbia University Press, 1984); The Battle for Corporate Control: Shareholder Rights, Stakeholder Interests, and Managerial Responsibility (contributing author, New York University, 1991); and "Can the Board of Directors Help the American Corporation Earn the Immortality It Holds So Dear?" in The

Mr. Millstein is a Life Trustee and former Chairman of the Board of the Central Park Conservancy (1991-1999), Chairman Emeritus and member of the Board of Overseers of the Albert Einstein College of Medicine (1977-present), Chairman Emeritus of the Board of Trustees of the American Red Cross of Greater New York (2008-present) and Emeritus Director for New Yorkers for Parks. Mr. Millstein is a member of the Board of the National September 11 Memorial & Museum at the World Trade Center, serves as legal counsel to the Private Sector Systemic Risk Council and serves as pro bono counsel to the Board of Directors of the Lower Manhattan Development Corporation, the agency overseeing the redevelopment of Lower Manhattan. He serves on the Advisory Council of Transparency International, is a former member of the Yale School of Management Advisory Board, former member of the Board of the National Association of Corporate Directors (NACD) and former Chairman of the NACD's Center for Board Leadership. Mr. Millstein is also a former Chairman of the Antitrust Law Sections of both the American Bar Association and the New York State Bar Association.

Mr. Millstein is a graduate of Columbia Law School and holds a B.S. in Engineering from Columbia College.

Katherine H. Rabin
Chief Executive Officer, Glass, Lewis & Co. LLC

Katherine (“KT”) Rabin became the CEO of Glass Lewis in April 2007. KT joined the leading investment research and global proxy advisory firm shortly after it was founded in 2003, where she was a part of the original management team, overseeing various strategic initiatives during the company’s key growth phases. Prior to becoming CEO, KT was Senior Vice President at Glass Lewis, responsible for operations. She was responsible for the development of Glass Lewis’ ViewPoint proxy vote management platform. Before joining Glass Lewis, KT was Vice President of Communications at supply chain management company QRS Corporation, where she helped create and then managed the company’s investor relations program. Previously, KT was in charge of research at OTA/Off The Record Research, an independent investment research firm specializing in supply chain analysis. From 1992 to 1997, she was business editor at the San Francisco Examiner.